

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,865	12/08/2000	Edwin Oscar Schraa	4257.1US

CONFIRMATION NO. 3138

24247  
TRASK BRITT & ROSSA  
230 SOUTH 500 EAST  
SUITE 300  
SALT LAKE CITY, UT 84103

## FORMALITIES LETTER



\*OC000000005699406\*

Date Mailed: 01/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/31/2001 AOSMAN1 00000021 09733865

01 FC:216  
02 FC:205

~~195.00 OP~~  
65.00 OP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCHRAA et al.

Serial No.: 09/733,865

Filed: December 8, 2000

For: METHOD OF ADMINISTERING  
ADENOVIRUS

Examiner: To be assigned

Group Art Unit: 1642

Attorney Docket No.: 2578-4257.1US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EL 740534841US

Date of Deposit with USPS: May 23, 2001

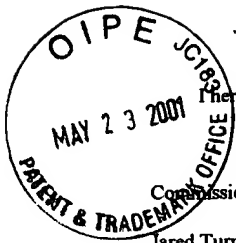
Person making Deposit: Jared Turner

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attn: Manager, Application Division  
Special Handling Unit  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Notice to File Missing Parts of Application, along with the following required items in connection with the above-referenced application: Declaration with Power of Attorney. This document is being filed four (4) months from the mailing date of the Notice to File Missing Parts.



4-A 5-25-01

sector 18  
PATENT  
#3

Attorney Docket No. 2578-4257.1US

**CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)**

I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service

- ☐ as first class mail, postage prepaid (37 C.F.R. § 1.8)
- ☒ "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10

Express mail label mailing number: EL740534841US on the date inserted below and is addressed to the Assistant

Commissioner for Patents, Washington, D.C. 20231.

Jared Turner  
Typed name of person mailing transmittal

May 23, 2001  
Date of Deposit

Signature of person mailing transmittal

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: Not Yet Assigned

Group Art Unit No.: 1642

Applicant(s): Schraa et al.

Filing date: December 8, 2000

Serial No.: 09/733,865

For (title): METHOD OF ADMINISTERING ADENOVIRUS

**COMMUNICATION TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. \_\_\_\_\_ in the amount of \_\_\_\_\_ for the presentation of extra claims as calculated in the remarks section below.
- ☐ Preliminary amendment.
- ☐ Amendment or other communication in response to the non-final office action mailed \_\_\_\_\_.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed \_\_\_\_\_.
- ☒ Petition for Extension of Time in duplicate with check no. 16510 in the amount of \$195.00.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of) \*\*\*Small entity name\*\*\*.
- ☒ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.
- ☒ Response to Notice to File Missing Parts, Declaration with Power of Attorney, Check No. 16511 for \$65.00 for late filing of Declaration.

**Remarks:**

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

1	2	3	SEXTA
Total claims - (____ - ____ = ____)			0
Indep. claim - (____ - ____ = ____)			0
First presentation of a multiple dep. claim (+260.00)			0
SUBTOTAL			0
Reduction for small entity - 50% of subtotal*			0
TOTAL ADDITIONAL FEE (subtotal minus any reduction)			0

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
- 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
- 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Allen C. Turner  
Reg. No. 33,041  
TRASK BRITT  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: May 23, 2001

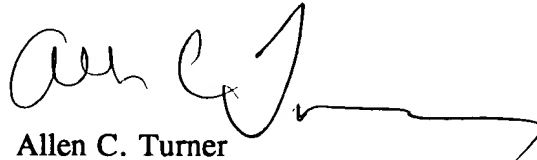
Enclosures: As identified above

The applicant hereby claims small entity status under 37 C.F.R. §1.27.

A check in the sum of \$65.00 is enclosed as payment of the fee for late filing of a Declaration.

Any additional patent application processing fees under 37 C.F.R. § 1.17 which may be due should be charged to deposit account no. 20-1469.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Allen C. Turner', with a long horizontal flourish extending to the right.

Allen C. Turner  
Registration No. 33,041  
Attorney for Applicants  
TRASKBRITT, PC  
P. O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: (801) 532-1922

Date: May 23, 2001

Enclosures: Declaration with Power of Attorney  
Copy of Notice To File Missing Parts of Application  
Check for \$65.00